

# NOTES ON THE GABRIEL-ROITER MEASURE

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In his proof of the first Brauer-Thrall conjecture [4], Roiter used an induction scheme which Gabriel formalized in his report on abelian length categories [1]. The first Brauer-Thrall conjecture asserts that every finite dimensional algebra of bounded representation type is of finite representation type. Ringel noticed<sup>1</sup> that the formalism of Gabriel and Roiter is useful as well for studying the representations of algebras having unbounded representation type. We report on Ringel's work [2, 3], presenting for instance his refinement of the first Brauer-Thrall conjecture. In order to keep this exposition as elementary as possible, we have chosen an axiomatic approach which seems to be new. Given a finite dimensional algebra  $\Lambda$ , the Gabriel-Roiter measure is treated as a universal morphism  $\mu: \text{ind } \Lambda \rightarrow P$  of partially ordered sets which is defined on isomorphism classes of indecomposable  $\Lambda$ -modules. Thus  $\mu$  is a suitable refinement of the length function  $\text{ind } \Lambda \rightarrow \mathbb{N}$  which sends a module to its composition length.

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## 1. CHAINS AND LENGTH FUNCTIONS

We view the Gabriel-Roiter measure as a morphism of partially ordered sets which refines a length function. In this section, the underlying combinatorial concepts are explained.

**1.1. The lexicographic order on finite chains.** Let  $(S, \leq)$  be a partially ordered set. A subset  $X \subseteq S$  is a *chain* if  $x_1 \leq x_2$  or  $x_2 \leq x_1$  for each pair  $x_1, x_2 \in X$ . For a finite chain  $X$ , we denote by  $\min X$  its minimal and by  $\max X$  its maximal element, using the convention

$$\max \emptyset < x < \min \emptyset \quad \text{for all } x \in S.$$

We write  $\text{Ch}(S)$  for the set of all finite chains in  $S$  and let

$$\text{Ch}(S, x) := \{X \in \text{Ch}(S) \mid \max X = x\} \quad \text{for } x \in S.$$

On  $\text{Ch}(S)$  we consider the *lexicographic order* which is defined by

$$X \leq Y \quad :\iff \quad \min(Y \setminus X) \leq \min(X \setminus Y) \quad \text{for } X, Y \in \text{Ch}(S).$$

**Remark.** (1)  $X \subseteq Y$  implies  $X \leq Y$ .

(2) Suppose that  $S$  is totally ordered. Then  $\text{Ch}(S)$  is totally ordered. We may think of  $X \in \text{Ch}(S) \subseteq \{0, 1\}^S$  as a string of 0s and 1s which is indexed by the elements in  $S$ . The usual lexicographic order on such strings coincides with the lexicographic order on  $\text{Ch}(\mathbb{N})$ .

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<sup>1</sup>Cf. the footnote on p. 91 of [1].

**Example.** Let  $\mathbb{N} = \{1, 2, 3, \dots\}$  and  $\mathbb{Q}$  be the set of rational numbers together with the natural ordering. Then the map

$$\text{Ch}(\mathbb{N}) \longrightarrow \mathbb{Q}, \quad X \mapsto \sum_{x \in X} 2^{-x}$$

is injective and order preserving, taking values in the interval  $[0, 1]$ . For instance, the subsets of  $\{1, 2, 3\}$  are ordered as follows:

$$\{\} < \{3\} < \{2\} < \{2, 3\} < \{1\} < \{1, 3\} < \{1, 2\} < \{1, 2, 3\}.$$

We need the following properties of the lexicographic order.

**Lemma.** Let  $X, Y \in \text{Ch}(S)$  and  $X^* := X \setminus \{\max X\}$ .

- (1)  $X^* = \max\{X' \in \text{Ch}(S) \mid X' < X \text{ and } \max X' < \max X\}$ .
- (2) If  $X^* < Y$  and  $\max X \geq \max Y$ , then  $X \leq Y$ .

*Proof.* (1) Let  $X' < X$  and  $\max X' < \max X$ . We show that  $X' \leq X^*$ . This is clear if  $X' \subseteq X^*$ . Otherwise, we have

$$\min(X^* \setminus X') = \min(X \setminus X') < \min(X' \setminus X) = \min(X' \setminus X^*),$$

and therefore  $X' \leq X^*$ .

- (2) The assumption  $X^* < Y$  implies by definition

$$\min(Y \setminus X^*) < \min(X^* \setminus Y).$$

We consider two cases. Suppose first that  $X^* \subseteq Y$ . If  $X \subseteq Y$ , then  $X \leq Y$ . Otherwise,

$$\min(Y \setminus X) < \max X = \min(X \setminus Y)$$

and therefore  $X < Y$ . Now suppose that  $X^* \not\subseteq Y$ . We use again that  $\max X \geq \max Y$ , exclude the case  $Y \subseteq X$ , and obtain

$$\min(Y \setminus X) = \min(Y \setminus X^*) < \min(X^* \setminus Y) = \min(X \setminus Y).$$

Thus  $X \leq Y$  and the proof is complete.  $\square$

**1.2. Length functions.** Let  $(S, \leq)$  be a partially ordered set. A *length function* on  $S$  is by definition a map  $\lambda: S \rightarrow \mathbb{N}$  such that  $x < y$  in  $S$  implies  $\lambda(x) < \lambda(y)$ . A length function  $\lambda: S \rightarrow \mathbb{N}$  induces for each  $x \in S$  a map

$$\text{Ch}(S, x) \longrightarrow \text{Ch}(\mathbb{N}, \lambda(x)), \quad X \mapsto \lambda(X),$$

and therefore the following *chain length function*

$$S \longrightarrow \text{Ch}(\mathbb{N}), \quad x \mapsto \lambda^*(x) := \max\{\lambda(X) \mid X \in \text{Ch}(S, x)\}.$$

**1.3. Basic properties.** Let  $\lambda: S \rightarrow \mathbb{N}$  be a length function and  $\lambda^*: S \rightarrow \text{Ch}(\mathbb{N})$  the induced chain length function. We collect a list of properties (C0) – (C5) of  $\lambda^*$ .

**Proposition.** Let  $x \in S$ .

- (C0)  $\lambda^*(x) = \max_{x' < x} \lambda^*(x') \cup \{\lambda(x)\}$ .

*Proof.* Let  $X = \lambda^*(x)$  and note that  $\max X = \lambda(x)$ . The assertion follows from Lemma 1.1 because we have

$$X \setminus \{\max X\} = \max\{X' \in \text{Ch}(\mathbb{N}) \mid X' < X \text{ and } \max X' < \max X\}.$$

$\square$

This shows that the function  $\lambda^*: S \rightarrow \text{Ch}(\mathbb{N})$  can be defined by induction on the length of the elements in  $S$ . Next we state some basic properties which suggest to think of  $\lambda^*$  as a refinement of  $\lambda$ .

**Proposition.** *Let  $x, y \in S$ .*

(C1)  $x \leq y$  implies  $\lambda^*(x) \leq \lambda^*(y)$ .

(C2)  $\lambda^*(x) = \lambda^*(y)$  implies  $\lambda(x) = \lambda(y)$ .

(C3)  $\lambda^*(x') < \lambda^*(y)$  for all  $x' < x$  and  $\lambda(x) \geq \lambda(y)$  imply  $\lambda^*(x) \leq \lambda^*(y)$ .

*Proof.* Suppose  $x \leq y$  and let  $X \in \text{Ch}(S, x)$ . Then  $Y = X \cup \{y\} \in \text{Ch}(S, y)$  and we have  $\lambda(X) \leq \lambda(Y)$  since  $\lambda(X) \subseteq \lambda(Y)$ . Thus  $\lambda^*(x) \leq \lambda^*(y)$ . If  $\lambda^*(x) = \lambda^*(y)$ , then

$$\lambda(x) = \max \lambda^*(x) = \max \lambda^*(y) = \lambda(y).$$

To prove (C3), we use (C0) and apply Lemma 1.1 with  $X = \lambda^*(x)$  and  $Y = \lambda^*(y)$ . In fact,  $\lambda^*(x') < \lambda^*(y)$  for all  $x' < x$  implies  $X^* < Y$ , and  $\lambda(x) \geq \lambda(y)$  implies  $\max X \geq \max Y$ . Thus  $X \leq Y$ .  $\square$

We state some further elementary properties of the map  $\lambda^*$ .

**Proposition.** *Let  $x, y \in S$ .*

(C4)  $\lambda^*(x) \leq \lambda^*(y)$  or  $\lambda^*(x) \geq \lambda^*(y)$ .

(C5)  $\{\lambda^*(x) \mid x \in S \text{ and } \lambda(x) \leq n\}$  is finite for all  $n \in \mathbb{N}$ .

*Proof.* (C4) is clear since  $\text{Ch}(\mathbb{N})$  is totally ordered. (C5) follows from the fact that  $\{X \in \text{Ch}(\mathbb{N}) \mid \max X \leq n\}$  is finite for all  $n \in \mathbb{N}$ .  $\square$

**1.4. A recursive definition.** Let  $\lambda: S \rightarrow \mathbb{N}$  be a length function. The function  $\lambda^*: S \rightarrow \text{Ch}(\mathbb{N})$  can be defined by induction on the length of the elements in  $S$  because of the formula (C0). This observation suggests the following recursive definition which avoids any reference to  $\text{Ch}(\mathbb{N})$ . Note that this definition is not needed for the rest of this exposition. However, it helps to illustrate the partial order on the values of the chain length function  $\lambda^*$ .

We define a surjective map  $\mu: S \rightarrow S/\lambda^*$  and a partial order on  $S/\lambda^*$ . More precisely, we provide an equivalence relation on  $S$  such that  $S/\lambda^*$  denotes the set of equivalence classes and  $\mu(x)$  denotes the equivalence class of each  $x \in S$ . The definition of  $\mu$  is done by induction, that is, in step  $n \geq 1$  we define  $\mu(x)$  and the relation  $\mu(x) \leq \mu(y)$  for all  $x, y \in S$  of length at most  $n$  as follows:

(1) If  $x$  or  $y$  is minimal, then

$$\mu(x) \leq \mu(y) \quad :\iff \quad \min_{x' \leq x} \lambda(x') \geq \min_{y' \leq y} \lambda(y').$$

(2) If  $x$  and  $y$  both are not minimal, then

$$\mu(x) = \mu(y) \quad :\iff \quad \max_{x' < x} \mu(x') = \max_{y' < y} \mu(y') \text{ and } \lambda(x) = \lambda(y);$$

$$\mu(x) < \mu(y) \quad :\iff \quad \begin{cases} \max_{x' < x} \mu(x') < \max_{y' < y} \mu(y'), \text{ or} \\ \max_{x' < x} \mu(x') = \max_{y' < y} \mu(y') \text{ and } \lambda(x) > \lambda(y). \end{cases}$$

Note that  $\max_{x' < x} \mu(x')$  exists for each  $x \in S$  because the set  $\{\mu(y) \mid y \in S, \lambda(y) \leq n\}$  is finite for all  $n$ .

**Proposition.** *Let  $\lambda: S \rightarrow \mathbb{N}$  be a length function. Then there exists an injective map  $\nu: S/\lambda^* \rightarrow \text{Ch}(\mathbb{N})$  making the following diagram commutative.*

$$\begin{array}{ccc} S & \xrightarrow{\lambda} & \mathbb{N} \\ \mu \downarrow & \searrow \lambda^* & \uparrow \text{max} \\ S/\lambda^* & \xrightarrow{\nu} & \text{Ch}(\mathbb{N}) \end{array}$$

Moreover,  $\nu(x) \leq \nu(y)$  if and only if  $x \leq y$  for all  $x, y \in S/\lambda^*$ .

*Proof.* First observe, using the equation (C0), that  $\lambda^*$  satisfies the defining relations of  $\mu$ . Now define  $\nu(\mu(x)) = \lambda^*(x)$  for  $\mu(x) \in S/\lambda^*$ . The map  $\nu$  is well-defined and injective because  $\lambda^*$  and  $\mu$  satisfy the same relations.  $\square$

**1.5. An axiomatic definition.** Let  $\lambda: S \rightarrow \mathbb{N}$  be a length function. We present an axiomatic definition of the induced chain length function  $\lambda^*$ . Thus we can replace the original definition in terms of chains by three simple conditions which express the fact that  $\lambda^*$  refines  $\lambda$ .

**Proposition.** *Let  $\lambda: S \rightarrow \mathbb{N}$  be a length function. The induced chain length function  $\lambda^*: S \rightarrow \text{Ch}(\mathbb{N})$  is the universal map  $\mu: S \rightarrow P$  into a partially ordered set  $P$  satisfying for all  $x, y \in S$  the following:*

- (P1)  $x \leq y$  implies  $\mu(x) \leq \mu(y)$ .
- (P2)  $\mu(x) = \mu(y)$  implies  $\lambda(x) = \lambda(y)$ .
- (P3)  $\mu(x') < \mu(y)$  for all  $x' < x$  and  $\lambda(x) \geq \lambda(y)$  imply  $\mu(x) \leq \mu(y)$ .

More precisely, for any such map  $\mu$  we have

$$\mu(x) \leq \mu(y) \iff \lambda^*(x) \leq \lambda^*(y) \quad \text{for all } x, y \in S.$$

*Proof.* We have seen in (1.3) that  $\lambda^*$  satisfies (P1) – (P3). So it remains to show that for any map  $\mu: S \rightarrow P$  into a partially ordered set  $P$ , the conditions (P1) – (P3) uniquely determine the relation  $\mu(x) \leq \mu(y)$  for any pair  $x, y \in S$ . In fact, we claim that (P1) – (P3) imply  $\mu(x) \leq \mu(y)$  or  $\mu(x) \geq \mu(y)$ . We proceed by induction on the length of the elements in  $S$ . For elements of length  $n = 1$ , the assertion is clear. In fact,  $\lambda(x) = 1 = \lambda(y)$  implies  $\mu(x) = \mu(y)$  by (P3). Now let  $n > 1$  and assume the assertion is true for all elements  $x \in S$  of length  $\lambda(x) < n$ . We choose for each  $x \in S$  of length  $\lambda(x) \leq n$  a *Gabriel-Roiter filtration*, that is, a sequence

$$x_1 < x_2 < \dots < x_{\gamma(x)-1} < x_{\gamma(x)} = x$$

in  $S$  such that  $x_1$  is minimal and  $\max_{x' < x_i} \mu(x') = \mu(x_{i-1})$  for all  $1 < i \leq \gamma(x)$ . Such a filtration exists because the elements  $\mu(x')$  with  $x' < x$  are totally ordered. Now fix  $x, y \in S$  of length at most  $n$  and let  $I = \{i \geq 1 \mid \mu(x_i) = \mu(y_i)\}$ . We consider  $r = \max I$  and put  $r = 0$  if  $I = \emptyset$ . There are two possible cases. Suppose first that  $r = \gamma(x)$  or  $r = \gamma(y)$ . If  $r = \gamma(x)$ , then  $\mu(x) = \mu(x_r) = \mu(y_r) \leq \mu(y)$  by (P1). Now suppose  $\gamma(x) \neq r \neq \gamma(y)$ . Then we have  $\lambda(x_{r+1}) \neq \lambda(y_{r+1})$  by (P2) and (P3). If  $\lambda(x_{r+1}) > \lambda(y_{r+1})$ , then we obtain  $\mu(x_{r+1}) < \mu(y_{r+1})$ , again using (P2) and (P3). Iterating this argument, we get  $\mu(x) = \mu(x_{\gamma(x)}) < \mu(y_{r+1})$ . From (P1) we get  $\mu(x) < \mu(y_{r+1}) \leq \mu(y)$ . Thus  $\mu(x) \leq \mu(y)$  or  $\mu(x) \geq \mu(y)$  and the proof is complete.  $\square$

2. ABELIAN LENGTH CATEGORIES

2.1. **Additive categories.** A category  $\mathcal{A}$  is *additive* if every finite family  $X_1, X_2, \dots, X_n$  of objects has a coproduct

$$X_1 \oplus X_2 \oplus \dots \oplus X_n,$$

each set  $\text{Hom}_{\mathcal{A}}(A, B)$  is an abelian group, and the composition maps

$$\text{Hom}_{\mathcal{A}}(B, C) \times \text{Hom}_{\mathcal{A}}(A, B) \longrightarrow \text{Hom}_{\mathcal{A}}(A, C)$$

are bilinear.

2.2. **Abelian categories.** An additive category  $\mathcal{A}$  is *abelian*, if every map  $\phi: A \rightarrow B$  has a kernel and a cokernel, and if the canonical factorization

$$\begin{array}{ccccc} \text{Ker } \phi & \xrightarrow{\phi'} & A & \xrightarrow{\phi} & B & \xrightarrow{\phi''} & \text{Coker } \phi \\ & & \downarrow & & \uparrow & & \\ & & \text{Coker } \phi' & \xrightarrow{\bar{\phi}} & \text{Ker } \phi'' & & \end{array}$$

of  $\phi$  induces an isomorphism  $\bar{\phi}$ .

**Example.** The category of modules over any associative ring is an abelian category.

2.3. **Subobjects.** Let  $\mathcal{A}$  be an abelian category. We say that two monomorphisms  $X_1 \rightarrow X$  and  $X_2 \rightarrow X$  are *equivalent*, if there exists an isomorphism  $X_1 \rightarrow X_2$  making the following diagram commutative.

$$\begin{array}{ccc} X_1 & \longrightarrow & X_2 \\ & \searrow & \swarrow \\ & X & \end{array}$$

An equivalence class of monomorphisms into  $X$  is called a *subobject* of  $X$ . Given subobjects  $X_1 \rightarrow X$  and  $X_2 \rightarrow X$ , we write  $X_1 \subseteq X_2$  if there is a morphism  $X_1 \rightarrow X_2$  making the above diagram commutative. An object  $X \neq 0$  is *simple* if  $X' \subseteq X$  implies  $X' = 0$  or  $X' = X$ .

2.4. **Length categories.** Let  $\mathcal{A}$  be an abelian category. An object  $X$  has *finite length* if it has a finite composition series

$$0 = X_0 \subseteq X_1 \subseteq \dots \subseteq X_{n-1} \subseteq X_n = X$$

(i.e. each  $X_i/X_{i-1}$  is simple). In this case the length of a composition series is an invariant of  $X$  by the Jordan-Hölder Theorem; it is called the *length* of  $X$  and is denoted by  $\ell(X)$ . For instance,  $X$  is simple if and only if  $\ell(X) = 1$ . Note that  $X$  has finite length if and only if  $X$  is both artinian (i.e. satisfies the descending chain condition on subobjects) and noetherian (i.e. satisfies the ascending chain condition on subobjects).

**Definition.** An abelian category is called a *length category* if all objects have finite length and if the isomorphism classes of objects form a set.

An object  $X \neq 0$  is called *indecomposable* if  $X = X_1 \oplus X_2$  implies  $X_1 = 0$  or  $X_2 = 0$ . A finite length object admits a finite direct sum decomposition into indecomposable objects having local endomorphism rings. Moreover, such a decomposition is unique up to an isomorphism by the Krull-Remak-Schmidt Theorem.

We denote by  $\text{ind } \mathcal{A}$  the set of isomorphism classes of indecomposable objects of  $\mathcal{A}$ .

**Example.** (1) Let  $\Lambda$  be a artinian ring. Then the category of finitely generated  $\Lambda$ -modules form a length category which we denote by  $\text{mod } \Lambda$ .

(2) Let  $k$  be a field and  $Q$  be any quiver. Then the finite dimensional  $k$ -linear representations of  $Q$  form a length category.

### 3. THE GABRIEL-ROITER MEASURE

Let  $\mathcal{A}$  be an abelian length category. We give the definition of the Gabriel-Roiter measure of  $\mathcal{A}$  which is due to Gabriel [1] and was inspired by the work of Roiter [4]. Then we discuss some specific properties, including Ringel's results about Gabriel-Roiter inclusions [2].

**3.1. The definition.** Let  $\mathcal{A}$  be an abelian length category. The isomorphism classes of objects of  $\mathcal{A}$  are partially ordered as follows:

$$X \leq Y \quad :\iff \quad \text{there exists a monomorphism } X \rightarrow Y.$$

We consider the length function  $\ell: \text{ind } \mathcal{A} \rightarrow \mathbb{N}$ . Then the induced map  $\ell^*: \text{ind } \mathcal{A} \rightarrow \text{Ch}(\mathbb{N})$  is by definition the *Gabriel-Roiter measure* of  $\mathcal{A}$ . We will not work with this definition but take instead the properties (C1) – (C5) of  $\ell^*$ . Thus we think of the Gabriel-Roiter measure as a map  $\mu: \text{ind } \mathcal{A} \rightarrow P$  into a partially ordered set  $P$ , specifying only the relation  $\mu(X) \leq \mu(Y)$  for pairs  $X, Y \in \text{ind } \mathcal{A}$ . This approach is justified by Proposition 1.5.

Next we establish further properties (C6) – (C8) of the Gabriel-Roiter measure which depend on the fact that  $\mathcal{A}$  is a length category.

**3.2. Gabriel-Roiter filtrations.** Let  $X, Y \in \text{ind } \mathcal{A}$ . We say that  $X$  is a *Gabriel-Roiter predecessor* of  $Y$  if  $X < Y$  and  $\mu(X) = \max_{Y' < Y} \mu(Y')$ . Note that each object  $Y \in \text{ind } \mathcal{A}$  which is not simple admits a Gabriel-Roiter predecessor, by (C4) and (C5). A Gabriel-Roiter predecessor  $X$  of  $Y$  is usually not unique, but the value  $\mu(X)$  is determined by  $\mu(Y)$ .

A sequence

$$X_1 < X_2 < \dots < X_{n-1} < X_n = X$$

in  $\text{ind } \mathcal{A}$  is called a *Gabriel-Roiter filtration* of  $X$  if  $X_1$  is simple and  $X_{i-1}$  is a Gabriel-Roiter predecessor of  $X_i$  for all  $1 < i \leq n$ . Clearly, each  $X$  admits such a filtration and the values  $\mu(X_i)$  are uniquely determined by  $X$ .

**Proposition.** *Let  $X, Y \in \text{ind } \mathcal{A}$ .*

(C6)  *$X \in \text{ind } \mathcal{A}$  is simple if and only if  $\mu(X) \leq \mu(Y)$  for all  $Y \in \text{ind } \mathcal{A}$ .*

(C7) *Suppose that  $\mu(X) < \mu(Y)$ . Then there are  $Y' < Y'' \leq Y$  in  $\text{ind } \mathcal{A}$  such that  $Y'$  is a Gabriel-Roiter predecessor of  $Y''$  with  $\mu(Y') \leq \mu(X) < \mu(Y'')$  and  $\ell(Y') \leq \ell(X)$ .*

*Proof.* For (C6), one uses that each indecomposable object has a simple subobject. To prove (C7), fix a Gabriel-Roiter filtration  $Y_1 < Y_2 < \dots < Y_n = Y$  of  $Y$ . We have  $\mu(Y_1) \leq \mu(X)$  because  $Y_1$  is simple. Using (C4), there exists some  $i$  such that  $\mu(Y_i) \leq \mu(X) < \mu(Y_{i+1})$ . Now put  $Y' = Y_i$  and  $Y'' = Y_{i+1}$ . Comparing the filtration of  $Y$  with a Gabriel-Roiter filtration of  $X$  (as in the proof of Proposition 1.5), we find that  $\ell(Y') \leq \ell(X)$ .  $\square$

**Example.** Let  $X \in \mathcal{A}$  be *uniserial*, that is,  $X$  has a unique composition series. Then the composition series is a Gabriel-Roiter filtration of  $X$ .

**3.3. The main property.** The following main property of the Gabriel-Roiter measure is crucial for the whole theory.

**Proposition** (Gabriel). *Let  $X, Y_1, \dots, Y_r \in \text{ind } \mathcal{A}$ .*

(C8) *Suppose that  $X \subseteq Y = \bigoplus_{i=1}^r Y_i$ . Then  $\mu(X) \leq \max \mu(Y_i)$  and  $X$  is a direct summand of  $Y$  if  $\mu(X) = \max \mu(Y_i)$ .*

*Proof.* The proof only uses the properties (C1) – (C3) of  $\mu$ . Fix a monomorphism  $\phi: X \rightarrow Y$ . We proceed by induction on  $n = \ell(X) + \ell(Y)$ . If  $n = 2$ , then  $\phi$  is an isomorphism and the assertion is clear. Now suppose  $n > 2$ . We can assume that for each  $i$  the  $i$ th component  $\phi_i: X \rightarrow Y_i$  of  $\phi$  is an epimorphism. Otherwise choose for each  $i$  a decomposition  $Y_i' = \bigoplus_j Y_{ij}$  of the image of  $\phi_i$  into indecomposables. Then we use (C1) and have  $\mu(X) \leq \max \mu(Y_{ij}) \leq \max \mu(Y_i)$  because  $\ell(X) + \ell(Y') < n$  and  $Y_{ij} \leq Y_i$  for all  $j$ . Now suppose that each  $\phi_i$  is an epimorphism. Thus  $\ell(X) \geq \ell(Y_i)$  for all  $i$ . Let  $X' \subset X$  be a proper indecomposable subobject. Then  $\mu(X') \leq \max \mu(Y_i)$  because  $\ell(X') + \ell(Y) < n$ , and  $X'$  is a direct summand if  $\mu(X') = \max \mu(Y_i)$ . We can exclude the case that  $\mu(X') = \max \mu(Y_i)$  because then  $X'$  is a proper direct summand of  $X$ , which is impossible. Now we apply (C3) and obtain  $\mu(X) \leq \max \mu(Y_i)$ . Finally, suppose that  $\mu(X) = \max \mu(Y_i) = \mu(Y_k)$  for some  $k$ . We claim that we can choose  $k$  such that  $\phi_k$  is an epimorphism. Otherwise, replace all  $Y_i$  with  $\mu(X) = \mu(Y_i)$  by the image  $Y_i' = \bigoplus_j Y_{ij}$  of  $\phi_i$  as before. We obtain  $\mu(X) \leq \max \mu(Y_{ij}) < \mu(Y_k)$  since  $Y_{kj} < Y_k$  for all  $j$ , using (C1) and (C2). This is a contradiction. Thus  $\phi_k$  is an epimorphism and in fact an isomorphism because  $\ell(X) = \ell(Y_k)$  by (C2). In particular,  $X$  is a direct summand of  $\bigoplus_i Y_i$ . This completes the proof.  $\square$

**Corollary.** *Let  $X, Y \in \text{ind } \mathcal{A}$  and suppose that  $X \subset Y$  with  $\mu(X) = \max_{Y' \subset Y} \mu(Y')$ . If  $X \subseteq U \subset Y$  in  $\mathcal{A}$ , then  $X$  is a direct summand of  $U$ .*

*Proof.* Let  $U = \bigoplus_i U_i$  be a decomposition into indecomposables. Now apply (C8). We obtain  $\mu(X) \leq \max \mu(U_i) < \mu(Y)$  and our assumption on  $X \subset Y$  implies that  $X$  is a direct summand of  $U$ .  $\square$

**Example.** (1) Let  $Y \in \text{ind } \mathcal{A}$  and suppose that  $\mu(X) \leq \mu(Y)$  for all  $X \in \text{ind } \mathcal{A}$ . Then  $Y$  is an injective object, because every monomorphism  $Y \rightarrow Z$  splits by (C8).

(2) Suppose that  $\mathcal{A}$  has a cogenerator  $Q$ , that is, each object in  $\mathcal{A}$  admits a monomorphism into a direct sum of copies of  $Q$ . Let  $Q = \bigoplus_i Q_i$  be a decomposition into indecomposable objects. Then  $\mu(X) \leq \max \mu(Q_i)$  for all  $X \in \text{ind } \mathcal{A}$ .

**3.4. Gabriel-Roiter inclusions.** Let  $X, Y \in \text{ind } \mathcal{A}$ . An inclusion  $X \subseteq Y$  is called *Gabriel-Roiter inclusion* if  $\mu(X) = \max_{Y' \subset Y} \mu(Y')$ . Thus we have a Gabriel-Roiter inclusion  $X \subseteq Y$  if and only if  $X$  is a Gabriel-Roiter predecessor of  $Y$ .

**Proposition** (Ringel). *Let  $X, Y \in \text{ind } \mathcal{A}$  and suppose that  $X \subset Y$  is a Gabriel-Roiter inclusion. Then  $Y/X$  is an indecomposable object.*

*Proof.* Let  $Z = Y/X$  and assume that  $Z = Z' \oplus Z''$  with  $Z'' \neq 0$ . We obtain the following commutative diagram with exact rows and columns.

$$\begin{array}{ccccccc}
 & & & 0 & & 0 & \\
 & & & \downarrow & & \downarrow & \\
 0 & \longrightarrow & X & \longrightarrow & Y' & \longrightarrow & Z' \longrightarrow 0 \\
 & & \parallel & & \downarrow & & \downarrow \text{inc} \\
 0 & \longrightarrow & X & \xrightarrow{\text{inc}} & Y & \longrightarrow & Z \longrightarrow 0 \\
 & & & & \downarrow & & \downarrow \\
 & & & & Z'' & \xlongequal{\quad} & Z'' \\
 & & & & \downarrow & & \downarrow \\
 & & & & 0 & & 0
 \end{array}$$

We have  $X \subseteq Y' \subset Y$  and therefore the monomorphism  $X \rightarrow Y'$  splits by Corollary 3.3. Thus the inclusion  $Z' \rightarrow Z$  factors through  $Y \rightarrow Z$  via a split monomorphism  $Z' \rightarrow Y$ . We conclude that  $Z' = 0$  since  $Y$  is indecomposable.  $\square$

**Remark.** The argument is borrowed from Auslander and Reiten. They show that the cokernel of an irreducible monomorphism between indecomposable objects is indecomposable.

**Corollary.** *Let  $Y$  be an indecomposable object in  $\mathcal{A}$  which is not simple. Then there exists a short exact sequence  $0 \rightarrow X \rightarrow Y \rightarrow Z \rightarrow 0$  in  $\mathcal{A}$  such that  $X$  and  $Z$  are indecomposable.*

*Proof.* Take  $X \subset Y$  with  $\mu(X) = \max_{Y' < Y} \mu(Y')$ .  $\square$

#### 4. FINITENESS RESULTS

In this section, Ringel's refinement of the first Brauer-Thrall conjecture is presented [2]. More precisely, we prove a structural result about the partial order of the values of the Gabriel-Roiter measure.

**4.1. Covariant finiteness.** A subcategory  $\mathcal{C}$  of  $\mathcal{A}$  is called *covariantly finite* if every object  $X \in \mathcal{A}$  admits a *left  $\mathcal{C}$ -approximation*, that is, a map  $X \rightarrow Y$  with  $Y \in \mathcal{C}$  such that the induced map  $\text{Hom}_{\mathcal{A}}(Y, C) \rightarrow \text{Hom}_{\mathcal{A}}(X, C)$  is surjective for all  $C \in \mathcal{C}$ . We have also the dual notion: a subcategory  $\mathcal{C}$  is *contravariantly finite* if every object in  $\mathcal{A}$  admits a *right  $\mathcal{C}$ -approximation*.

**Lemma.** *Let  $\mathcal{C}$  be a subcategory of  $\mathcal{A}$  which is closed under taking direct sums and subobjects. Then  $\mathcal{C}$  is a covariantly finite subcategory of  $\mathcal{A}$ .*

*Proof.* Fix  $X \in \mathcal{A}$ . Let  $X' \subseteq X$  be minimal among the kernels of all maps  $X \rightarrow Y$  with  $Y \in \mathcal{C}$ . Then the canonical map  $X \rightarrow X/X'$  is a left  $\mathcal{C}$ -approximation.  $\square$

**Remark.** The proof shows that the inclusion functor  $\mathcal{C} \rightarrow \mathcal{A}$  admits a left adjoint  $F: \mathcal{A} \rightarrow \mathcal{C}$  which takes  $X \in \mathcal{A}$  to  $X/X'$ . Note that the adjunction map  $X \rightarrow FX$  is a left  $\mathcal{C}$ -approximation.

Let  $M$  be any set of values  $\mu(X)$ . Then we define the subcategory

$$\mathcal{A}(M) := \{\oplus_i X_i \in \mathcal{A} \mid \mu(X_i) \in M \text{ for all } i\}.$$

**Proposition** (Ringel). *Let  $M$  be a set of values  $\mu(X)$  which is closed under predecessors, that is,  $\mu(Y) \leq \mu(X) \in M$  implies  $\mu(Y) \in M$ . Then  $\mathcal{A}(M)$  is a covariantly finite subcategory of  $\mathcal{A}$ .*

*Proof.* The subcategory  $\mathcal{A}(M)$  is closed under taking subobjects by (C8).  $\square$

**4.2. Almost split morphisms.** A map  $\phi: X \rightarrow Y$  in  $\mathcal{A}$  is called *left almost split* if  $\phi$  is not a split monomorphism and every map  $X \rightarrow Y'$  in  $\mathcal{A}$  which is not a split monomorphism factors through  $\phi$ . Dually, a map  $\psi: Y \rightarrow Z$  is called *right almost split* if  $\psi$  is not a split epimorphism and every map  $Y' \rightarrow Z$  which is not a split epimorphism factors through  $\psi$ . For example, if  $\mathcal{A} = \text{mod } \Lambda$  for some artin algebra  $\Lambda$ , then every indecomposable object  $X \in \mathcal{A}$  admits a left almost split map starting at  $X$  and a right almost split map ending at  $X$ .

**4.3. Immediate successors.** Let  $X \in \text{ind } \mathcal{A}$ . An *immediate successor* of  $\mu(X)$  is by definition a minimal element in

$$\{\mu(Y) \mid Y \in \text{ind } \mathcal{A} \text{ and } \mu(X) < \mu(Y)\}.$$

**Lemma.** *Let  $X, Y \in \text{ind } \mathcal{A}$  and suppose that  $X$  is a Gabriel-Roiter predecessor of  $Y$ . If  $X \rightarrow \bar{X}$  is a left almost split map in  $\mathcal{A}$ , then  $Y$  is a factor object of  $\bar{X}$ .*

*Proof.* The monomorphism  $X \rightarrow Y$  factors through  $X \rightarrow \bar{X}$  via a map  $\phi: \bar{X} \rightarrow Y$ . Let  $U$  be the image of  $\phi$ . Applying Corollary 3.3, we find that  $U = Y$ .  $\square$

**Proposition.** *Let  $X \in \mathcal{A}$  and suppose there exists  $n_X \in \mathbb{N}$  such that each  $V \in \text{ind } \mathcal{A}$  with  $\mu(V) \leq \mu(X)$  and  $\ell(V) \leq \ell(X)$  admits a left almost split map  $V \rightarrow \bar{V}$  with  $\ell(\bar{V}) \leq n_X$ . Then there exists an immediate successor of  $\mu(X)$  provided that  $\mu(X)$  is not maximal.*

*Proof.* Let  $\mu(X) < \mu(Y)$ . We apply (C7) and find  $Y' < Y'' \leq Y$  in  $\text{ind } \mathcal{A}$  such that  $Y'$  is a Gabriel-Roiter predecessor of  $Y''$  with  $\mu(Y') \leq \mu(X) < \mu(Y'') \leq \mu(Y)$  and  $\ell(Y') \leq \ell(X)$ . The preceding lemma implies  $\ell(Y'') \leq n_X$ , and (C5) implies that the number of values  $\mu(Y'')$  is finite. Thus there exists a minimal element among those  $\mu(Y'')$ .  $\square$

**Corollary** (Ringel). *Let  $\Lambda$  be an artin algebra and  $X \in \text{ind } \Lambda$ . Then there exists an immediate successor of  $\mu(X)$  provided that  $\mu(X)$  is not maximal.*

*Proof.* Use that there exists  $n_\Lambda \in \mathbb{N}$  having the following property: for each indecomposable  $V \in \text{mod } \Lambda$ , there exists a left almost split map  $V \rightarrow \bar{V}$  satisfying  $\ell(\bar{V}) \leq n_\Lambda \ell(V)$ . In fact, one takes  $n_\Lambda = pq$ , where  $p$  denotes the maximal length of an indecomposable projective  $\Lambda$ -module and  $q$  denotes the maximal length of an indecomposable injective  $\Lambda$ -module.  $\square$

**4.4. A finiteness criterion.** We present a criterion for a subcategory  $\mathcal{C}$  of  $\mathcal{A}$  such that the number of indecomposable objects in  $\mathcal{C}$  is finite. This is based on the following classical lemma.

**Lemma** (Harada-Sai). *Let  $n \in \mathbb{N}$ . A composition  $X_1 \rightarrow X_2 \rightarrow \dots \rightarrow X_{2^n}$  of non-invertible maps between indecomposable objects of length at most  $n$  is zero.*

**Proposition.** *Let  $\mathcal{A}$  be a length category with left almost split maps and only finitely many isomorphism classes of simple objects. Suppose that  $\mathcal{C}$  is a subcategory such that*

- (1)  $\mathcal{C}$  is covariantly finite, and
- (2) there exists  $n \in \mathbb{N}$  such that  $\ell(X) \leq n$  for all indecomposable  $X \in \mathcal{C}$ .

*Then there are only finitely many isomorphism classes of indecomposable objects in  $\mathcal{C}$ .*

*Proof.* We claim that we can construct all indecomposable objects  $X \in \mathcal{C}$  in at most  $2^n$  steps from the finitely many simple objects in  $\mathcal{A}$  as follows. Choose a non-zero map  $S \rightarrow X$  from a simple object  $S$  and factor this map through the left  $\mathcal{C}$ -approximation  $S \rightarrow S'$ . Take an indecomposable direct summand  $X_0$  of  $S'$  such that the component  $S \rightarrow X_0 \rightarrow X$  of the composition  $S \rightarrow S' \rightarrow X$  is non-zero. Stop if  $X_0 \rightarrow X$  is an isomorphism. Otherwise take a left almost split map  $X_0 \rightarrow Y_0$  and a left  $\mathcal{C}$ -approximation  $Y_0 \rightarrow Z_0$ . The map  $X_0 \rightarrow X$  factors through the composition  $X_0 \rightarrow Y_0 \rightarrow Z_0$  and we choose an indecomposable direct summand  $X_1$  of  $Z_0$  such that the component  $X_0 \rightarrow Y_0 \rightarrow X_1 \rightarrow X$  is non-zero. Again, we stop if  $X_1 \rightarrow X$  is an isomorphism. Otherwise, we continue as before and obtain in step  $r$  a sequence of non-invertible maps

$$X_0 \rightarrow X_1 \rightarrow X_2 \rightarrow \dots \rightarrow X_r$$

such that the composition is non-zero. The Harada-Sai lemma implies that  $r < 2^n$  because  $\ell(X_i) \leq n$  for all  $i$  by our assumption. Thus  $X$  is isomorphic to  $X_i$  for some  $i < 2^n$ , and we obtain  $X$  in at most  $2^n$  steps, having in each step only finitely many choices by taking an indecomposable direct summand. We conclude that  $\mathcal{C}$  has only a finite number of indecomposable objects.  $\square$

**Remark.** This classical argument provides a quick proof of the first Brauer-Thrall conjecture; it is due to Auslander and Yamagata.

#### 4.5. The initial segment.

**Theorem** (Ringel). *Let  $\mathcal{A}$  be a length category such that  $\text{ind } \mathcal{A}$  is infinite. Suppose also that  $\mathcal{A}$  has only finitely many isomorphism classes of simple objects and that every indecomposable object admits a left almost split map. Then there exist infinitely many values  $\mu(X_1) < \mu(X_2) < \mu(X_3) < \dots$  of the Gabriel-Roiter measure of  $\mathcal{A}$  having the following properties.*

- (1) If  $\mu(X) \neq \mu(X_i)$  for all  $i$ , then  $\mu(X_i) < \mu(X)$  for all  $i$ .
- (2) The set  $\{X \in \text{ind } \mathcal{A} \mid \mu(X) = \mu(X_i)\}$  is finite for all  $i$ .

*Proof.* We construct the values  $\mu(X_i)$  by induction as follows. Take for  $X_1$  any simple object. Observe that  $\mu(X_1)$  is minimal among all  $\mu(X)$  by (C6) and that only finitely many  $X \in \text{ind } \mathcal{A}$  satisfy  $\mu(X) = \mu(X_1)$  because  $\mathcal{A}$  has only finitely many simple objects. Now suppose that  $\mu(X_1) < \dots < \mu(X_n)$  have been constructed, satisfying the conditions (1) and (2) for all  $1 \leq i \leq n$ . We can apply Proposition 4.3 and find an immediate successor  $\mu(X_{n+1})$  of  $\mu(X_n)$ . It remains to show that the set  $\{X \in \text{ind } \mathcal{A} \mid \mu(X) = \mu(X_{n+1})\}$  is finite. To this end consider  $M = \{\mu(X_1), \dots, \mu(X_{n+1})\}$ . We know from Proposition 4.1 that  $\mathcal{A}(M)$  is a covariantly finite subcategory. Clearly,  $\ell(X)$  is bounded by  $\max\{\ell(X_i), \dots, \ell(X_{n+1})\}$  for all indecomposable  $X \in \mathcal{A}(M)$  by (C2). We conclude from Proposition 4.4 that the number of indecomposables in  $\mathcal{A}(M)$  is finite. Thus  $\{X \in \text{ind } \mathcal{A} \mid \mu(X) = \mu(X_{n+1})\}$  is finite and the proof is complete.  $\square$

**Corollary** (Brauer-Thrall I). *Let  $\mathcal{A}$  be a length category satisfying the above conditions. Then for every  $n \in \mathbb{N}$  there exists an indecomposable object  $X \in \mathcal{A}$  with  $\ell(X) > n$ .*

*Proof.* Use that for fixed  $n \in \mathbb{N}$ , there are only finitely many values  $\mu(X)$  with  $\ell(X) \leq n$ , by (C5).  $\square$

#### 4.6. The terminal segment.

**Theorem** (Ringel). *Let  $\mathcal{A}$  be a length category such that  $\text{ind } \mathcal{A}$  is infinite. Suppose also that  $\mathcal{A}$  has a cogenerator (i.e. an object  $Q$  such that each object in  $\mathcal{A}$  admits a monomorphism into a direct sum of copies of  $Q$ ) and that every indecomposable object admits a right almost split map. Then there exist infinitely many values  $\mu(X^1) > \mu(X^2) > \mu(X^3) > \dots$  of the Gabriel-Roiter measure of  $\mathcal{A}$  having the following properties.*

- (1) *If  $\mu(X) \neq \mu(X^i)$  for all  $i$ , then  $\mu(X^i) > \mu(X)$  for all  $i$ .*
- (2) *The set  $\{X \in \text{ind } \mathcal{A} \mid \mu(X) = \mu(X^i)\}$  is finite for all  $i$ .*

The proof is based on the following lemma.

**Lemma** (Auslander-Smalø). *Let  $\mathcal{A}$  be a length category and let  $X \in \mathcal{A}$ . Denote by  $\mathcal{A}_X$  the subcategory formed by all objects in  $\mathcal{A}$  having no indecomposable direct summand which is isomorphic to a direct summand of  $X$ . If every indecomposable direct summand of  $X$  admits a right almost split map, then  $\mathcal{A}_X$  is contravariantly finite.*

*Proof.* Let  $X = \bigoplus_{i_0=1}^r X_{i_0}$  be a decomposition into indecomposables. It is sufficient to construct a right  $\mathcal{A}_X$ -approximation for each indecomposable object  $Z \in \mathcal{A}$ . We take the identity map if  $Z \in \mathcal{A}_X$ . Otherwise,  $Z$  is isomorphic to  $X_{i_0}$  for some  $i_0$  and we proceed as follows. Let  $\phi_{i_0}: \bar{X}_{i_0} \rightarrow X_{i_0}$  be a right almost split map and choose a decomposition

$$\bar{X}_{i_0} = Y_{i_0} \oplus (\bigoplus_{i_1} X_{i_0 i_1})$$

such that  $Y_{i_0} \in \mathcal{A}_X$  and  $i_0 i_1 \in \{1, \dots, r\}$  for all  $i_1$ . Note that each map  $V \rightarrow X_{i_0}$  with  $V \in \mathcal{A}_X$  factors through  $\phi_{i_0}$ . Also, each component  $X_{i_0 i_1} \rightarrow X_{i_0}$  of  $\phi_{i_0}$  is non-invertible. Now compose  $\phi_{i_0}$  with  $\text{id}_{Y_{i_0}} \oplus (\bigoplus_{i_1} \phi_{i_0 i_1})$  to obtain a map

$$Y_{i_0} \oplus (\bigoplus_{i_1} (Y_{i_0 i_1} \oplus (\bigoplus_{i_2} X_{i_0 i_1 i_2}))) \rightarrow Y_{i_0} \oplus (\bigoplus_{i_1} X_{i_0 i_1}) \rightarrow X_{i_0}.$$

Again, each map  $V \rightarrow X_{i_0}$  with  $V \in \mathcal{A}_X$  factors through this new map, and each component  $X_{i_0 i_1 i_2} \rightarrow X_{i_0 i_1}$  is non-invertible. We continue this procedure, compose this map with

$$\text{id}_{Y_{i_0}} \oplus (\bigoplus_{i_1} (\text{id}_{Y_{i_0 i_1}} \oplus (\bigoplus_{i_2} \phi_{i_0 i_1 i_2}))),$$

and so on. Now let  $n = 2^m$  where  $m = \max\{\ell(X_1), \dots, \ell(X_r)\}$ . Then the Harada-Sai lemma implies that any composition

$$X_{i_0 i_1 \dots i_n} \rightarrow X_{i_0 i_1 \dots i_{n-1}} \rightarrow \dots \rightarrow X_{i_0 i_1} \rightarrow X_{i_0}$$

is zero. Thus the induced map

$$\bigoplus_{j=0}^n (\bigoplus_{i_1, i_2, \dots, i_j} Y_{i_0 i_1 \dots i_j}) \longrightarrow X_{i_0}$$

is a right  $\mathcal{A}_X$ -approximation of  $X_{i_0}$ .  $\square$

*Proof of the theorem.* We construct the values  $\mu(X^i)$  by induction as follows. Let  $n \geq 0$  and suppose that  $\mu(X^1) > \dots > \mu(X^n)$  have been constructed, satisfying the conditions (1) and (2) for all  $1 \leq i \leq n$ . Denote by  $P$  the direct sum of all  $X \in \text{ind } \mathcal{A}$  with  $\mu(X) \geq \mu(X^n)$ , and let  $P = 0$  if  $n = 0$ . Choose a right  $\mathcal{A}_P$ -approximation  $P' \rightarrow Q$  and

take for  $X^{n+1}$  any indecomposable direct summand  $X$  of  $P'$  such that  $\mu(X)$  is maximal. Observe that every indecomposable object  $X \in \mathcal{A}_P$  is cogenerated by  $Q$  and therefore by  $P'$ . Thus (C8) implies that  $\mu(X)$  is bounded by  $\mu(X^{n+1})$ . Moreover, if  $\mu(X) = \mu(X^{n+1})$ , then  $X$  is isomorphic to a direct summand of  $P'$ . Thus  $\{X \in \text{ind } \mathcal{A} \mid \mu(X) = \mu(X^{n+1})\}$  is finite and the proof is complete.  $\square$

Let  $\Lambda$  be an artin algebra of infinite representation type. Then  $\mathcal{A} = \text{mod } \Lambda$  satisfies the assumptions of Theorems 4.5 and 4.6. Let us summarize the structure of the partial order on the values of the Gabriel-Roiter measure as follows. We have

$$\text{ind } \mathcal{A}/\mu := \{\mu(X) \mid X \in \text{ind } \mathcal{A}\} = S_{\text{init}} \sqcup S_{\text{cent}} \sqcup S_{\text{term}} \cong \mathbb{N} \sqcup S_{\text{cent}} \sqcup \mathbb{N}^{\text{op}},$$

where the notation  $S = S_1 \sqcup S_2$  for a poset  $S$  means  $S = S_1 \cup S_2$  and  $x_1 < x_2$  for all  $x_1 \in S_1, x_2 \in S_2$ .

**Example.** Let  $\Lambda = \begin{bmatrix} k & k^2 \\ 0 & k \end{bmatrix}$  be the Kronecker algebra over an algebraically closed field  $k$ . Denote by  $\dim X = (x_1, x_2) \in \mathbb{N}_0 \times \mathbb{N}_0$  the dimension vector of  $X \in \text{ind } \Lambda$ . Then  $\dim X = \dim Y$  implies  $\mu(X) = \mu(Y)$ , and we obtain the following ordering on the set of indecomposables via the Gabriel-Roiter measure:

$$(1, 0) = (0, 1) < (1, 2) < (2, 3) < \dots \quad (1, 1) < (2, 2) < \dots \quad \dots < (3, 2) < (2, 1).$$

## 5. THE GABRIEL-ROITER MEASURE FOR DERIVED CATEGORIES

Let  $\mathcal{A}$  be an abelian length category. We propose a definition for the Gabriel-Roiter measure of the bounded derived category  $\mathbf{D}^b(\mathcal{A})$ . The derived Gabriel-Roiter measure extends the Gabriel-Roiter measure of the underlying abelian category  $\mathcal{A}$ .

**5.1. The definition.** The bounded derived category  $\mathbf{D}^b(\mathcal{A})$  of  $\mathcal{A}$  is by definition the full subcategory of the derived category  $\mathbf{D}(\mathcal{A})$  which is formed by all complexes  $X$  such that  $H^n X = 0$  for  $|n| \gg 0$ . Note that each object of  $\mathbf{D}^b(\mathcal{A})$  admits a finite direct sum decomposition into indecomposable objects having local endomorphism rings. Moreover, such a decomposition is unique up to an isomorphism. We denote by  $\text{ind } \mathbf{D}^b(\mathcal{A})$  the set of isomorphism classes of indecomposable objects of  $\mathbf{D}^b(\mathcal{A})$ .

We consider the functor

$$\mathbf{D}^b(\mathcal{A}) \longrightarrow \mathcal{A}, \quad X \mapsto H^* X = \bigoplus_{n \in \mathbb{Z}} H^n X,$$

and the isomorphism classes of objects of  $\mathbf{D}^b(\mathcal{A})$  are partially ordered via

$$X \leq Y \quad :\iff \quad \begin{cases} \text{there exists a map } X \rightarrow Y \text{ inducing} \\ \text{a monomorphism } H^* X \rightarrow H^* Y. \end{cases}$$

We have the length function

$$\ell_{H^*}: \text{ind } \mathbf{D}^b(\mathcal{A}) \longrightarrow \mathbb{N}, \quad X \mapsto \ell(H^* X)$$

and the induced chain length function  $\ell_{H^*}^*: \text{ind } \mathbf{D}(\mathcal{A}) \rightarrow \text{Ch}(\mathbb{N})$  is by definition the *Gabriel-Roiter measure* of  $\mathbf{D}^b(\mathcal{A})$ .

### 5.2. Derived versus abelian Gabriel-Roiter measure.

**Proposition.** *The Gabriel-Roiter measure of  $\mathbf{D}^b(\mathcal{A})$  extends the Gabriel-Roiter measure of  $\mathcal{A}$ . More precisely, the canonical functor  $\mathcal{A} \rightarrow \mathbf{D}^b(\mathcal{A})$  sending an object of  $\mathcal{A}$  to the corresponding complex concentrated in degree zero induces an inclusion  $\text{ind } \mathcal{A} \rightarrow \text{ind } \mathbf{D}^b(\mathcal{A})$  of partially ordered sets, which makes the following diagram commutative.*

$$\begin{array}{ccc} \text{ind } \mathcal{A} & \xrightarrow{\text{inc}} & \text{ind } \mathbf{D}^b(\mathcal{A}) \\ & \searrow \ell^* & \swarrow \ell_{H^*}^* \\ & \text{Ch}(\mathbb{N}) & \end{array}$$

*Proof.* Use the fact that the diagram

$$\begin{array}{ccc} \text{ind } \mathcal{A} & \xrightarrow{\text{inc}} & \text{ind } \mathbf{D}^b(\mathcal{A}) \\ & \searrow \ell & \swarrow \ell_{H^*} \\ & \mathbb{N} & \end{array}$$

is commutative and that  $\text{ind } \mathcal{A}$  is closed under predecessors in  $\text{ind } \mathbf{D}^b(\mathcal{A})$ .  $\square$

**5.3. An alternative definition.** For an alternative definition of the Gabriel-Roiter measure of  $\mathbf{D}^b(\mathcal{A})$ , consider the lexicographic order on

$$\prod_{\mathbb{Z}} \mathbb{N}_0 := \{(x_n) \in \prod_{\mathbb{Z}} \mathbb{N}_0 \mid x_n = 0 \text{ for } |n| \gg 0\}, \text{ with}$$

$$(x_n) \leq (y_n) \iff \begin{cases} x_i = y_i \text{ for all } i \in \mathbb{Z}, \text{ or} \\ x_i \leq y_i \text{ for } i = \min\{n \in \mathbb{Z} \mid x_n \neq y_n\}. \end{cases}$$

Take instead of  $\ell_{H^*}$  the length function

$$\lambda: \text{ind } \mathbf{D}^b(\mathcal{A}) \longrightarrow \prod_{\mathbb{Z}} \mathbb{N}_0, \quad X \mapsto (\ell(H^n X)),$$

and instead of  $\ell_{H^*}^*$  the induced chain length function

$$\lambda^*: \text{ind } \mathbf{D}^b(\mathcal{A}) \longrightarrow \text{Ch}\left(\prod_{\mathbb{Z}} \mathbb{N}_0\right).$$

We illustrate the difference between both definitions by taking a hereditary length category  $\mathcal{A}$ , that is  $\text{Ext}_{\mathcal{A}}^2(-, -) = 0$ . Then

$$\text{ind } \mathbf{D}^b(\mathcal{A}) / \ell_{H^*}^* = \text{ind } \mathcal{A} / \ell^*,$$

whereas

$$\text{ind } \mathbf{D}^b(\mathcal{A}) / \lambda^* = \dots \sqcup \text{ind } \mathcal{A} / \ell^* \sqcup \text{ind } \mathcal{A} / \ell^* \sqcup \text{ind } \mathcal{A} / \ell^* \sqcup \dots$$

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